

**RESOLUTION OF THE MEMBERS OF THE BOARD OF THE ALBANY  
CONVENTION CENTER AUTHORITY AUTHORIZING THE ADOPTION OF A  
WHISTLEBLOWER POLICY**

**OCTOBER 27, 2006**

**WHEREAS**, the Albany Convention Center Authority (the "Authority") is a public benefit corporation established to design, develop, plan, finance, create, site, construct, renovate, administer, operate, manage, and maintain a convention center and related facilities to be located in the City of Albany, New York; and

**WHEREAS**, for the purpose of the Public Authorities Accountability Act of 2005, Chapter 766 of the laws of 2005, (the "Act") the Authority is a "State Authority" that is required to comply with certain provisions of the Act; and

**WHEREAS**, the Act requires the boards of "State Authorities" to establish written policies on personnel including policies protecting employees from retaliation for disclosing information concerning acts of wrongdoing, misconduct, malfeasance, or other inappropriate behavior by an employee or board member of the Authority;

**NOW THEREFORE, BE IT RESOLVED** by the Members of the Board of the Authority that the Authority hereby adopts the Whistleblower Policy in the form attached hereto.

This resolution shall take effect immediately.

**ALBANY CONVENTION CENTER AUTHORITY**  
**WHISTLEBLOWER POLICY**

Each Member of the Board of the Albany Convention Center Authority and each officer and employee thereof, in performance of their duties shall conduct themselves with honesty and integrity and observe the highest standards of business and personal ethics as set forth in the Code of Ethics of the Authority (the "Code").

Each Member, officer, or employee is responsible to report any violation of the Code (whether suspected or known) to the Authority's Executive Director. Reports of the violations will be kept confidential to the extent possible. No individual, regardless of their position with the Authority, will be subject to any retaliation for making a good faith claim and, any employee who chooses to retaliate against someone who has reported a violation, shall be subject to disciplinary action which may include termination of employment. Regardless, any claim of retaliation will be taken and treated seriously and without regard for the outcome of the initial complaint and will be treated as a separate offense.

The Executive Director is responsible for immediately forwarding any claim to the Authority's Counsel, who shall investigate and handle the claim in a timely manner.